

Statistical bulletin

# Coronavirus (COVID-19) Infection Survey pilot: England, Wales and Northern Ireland, 9 October 2020

Estimates for England, Wales and Northern Ireland. This survey is being delivered in partnership with University of Oxford, University of Manchester, Public Health England and Wellcome Trust.

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### 1. Main points

- An estimated 224,400 people (95% credible interval: 203,800 to 245,700) within the community population in England had the coronavirus (COVID-19) during the most recent week, from 25 September to 1 October 2020, equating to around 1 in 240 people (95% credible interval: 1 in 270 to 1 in 220).
- The estimate shows the number of infections has increased rapidly in recent weeks.
- In recent weeks the highest rates of people testing positive for COVID-19 are among older teenagers and young adults.
- There is clear evidence of variation in COVID-19 infection rates across the regions of England, with highest rates seen in the North East, North West, and Yorkshire and The Humber.
- During the most recent week (25 September to 1 October 2020), we estimate there were around 3.16 new COVID-19 infections for every 10,000 people per day (95% credible interval: 2.53 to 4.19) in the community population in England, equating to around 17,200 new cases per day (95% credible interval: 13,800 to 22,900).
- There has been a marked increase in the incidence rate over the last six weeks.
- During the most recent week (25 September to 1 October 2020), we estimate that 6,100 people in Wales had COVID-19 (95% credible interval: 1,900 to 14,600), equating to 1 in 500 people (95 % credible interval: 1 in 1,600 to 1 in 200).
- During the most recent two weeks (18 September to 1 October 2020), we estimate that 0.22% of people in Northern Ireland had COVID-19 (95% confidence interval: 0.05% to 0.60%), which is around 1 in 500 people (95 % credible interval: 1 in 1,900 to 1 in 200).

In this bulletin, we refer to the number of current COVID-19 infections within the community population; community in this instance refers to private residential households and it excludes those in hospitals, care homes or other institutional settings.

We use current COVID-19 infections to mean testing positive for SARS-CoV-2, with or without having symptoms, on a swab taken from the nose and throat.

All analysis was produced with our research partners at the University of Oxford.

#### How the data in this bulletin can be used

The data can be used for:

- estimating the number of current positive cases in the community, including cases where people do not report having any symptoms
- identifying differences in numbers of positive cases between different regions
- estimating the number of new cases and change over time in positive cases

The data cannot be used for:

- measuring the number of cases and infections in care homes, hospitals and other institutional settings
- estimating the number of positive cases and new infections in smaller geographies, such as towns and cities
- · providing information about recovery time of those infected

### 2. Number of people in England who had COVID-19

During the most recent week of the study, we estimate that 224,400 people in England had the coronavirus (COVID-19) (95% credible interval: 203,800 to 245,700). This equates to 0.41% (95% credible interval: 0.37% to 0.45%) of the population in England or around 1 in 240 people (95% credible interval: 1 in 270 to 1 in 220). This is based on statistical modelling of the trend in rates of positive nose and throat swab results.

Estimates of infection rates over time are presented in Figure 1. While the percentage of people testing positive for COVID-19 has decreased since the start of the study (26 April 2020), the most recent modelled estimate shows the infection rate has increased rapidly in recent weeks.

In the latest six-week period, there were 356,091 swab tests, and a total of 820 positive tests, in 682 people from 541 households. In the latest two-week period, there were 167,332 swab tests, and a total of 588 positive tests, in 532 people from 416 households.

To provide stability in estimates, we advise using estimates we published in previous bulletins as these are our official estimates of the rate and spread of COVID-19 infections in the community in England. Both these and the modelled estimates are presented in Figure 1 and are used to interpret change over time.

As this is a household survey, our figures do not include people staying in hospitals, care homes or other institutional settings. In these settings, rates of COVID-19 infection are likely to be different. More information about rates of COVID-19 in care homes can be found in <a href="Impact of coronavirus in care homes in England: 26 May to 19 June 2020">Impact of coronavirus in care homes in England: 26 May to 19 June 2020</a>.

## Figure 1: The most recent modelled estimate shows the number of infections in England has increased rapidly in recent weeks

Estimated percentage of the population in England testing positive for the coronavirus (COVID-19) on nose and throat swabs since 26 April 2020

#### Notes:

- 1. These results are provisional and subject to revision.
- 2. The break distinguishes between the most recent weeks' estimates and the earlier period. The earlier estimates will be updated periodically. Using data from only the most recent weeks in the model enables us to continue to provide timely results.
- 3. All estimates are subject to uncertainty, given that a sample is only part of the wider population. The model used to provide these estimates is a Bayesian model: these provide 95% credible intervals. A credible interval gives an indication of the uncertainty of an estimate from data analysis. 95% credible intervals are calculated so that there is a 95% probability of the true value lying in the interval.
- 4. Official reported estimates are plotted at a reference point believed to be most representative of the given week. Details of which day was used for each week can be found in the dataset that accompanies this bulletin.
- 5. Modelled estimates include all swab results that are available at the time the official estimates are produced. Additional swab tests that become available after this are included in subsequent models, meaning that modelled estimates can change slightly as additional data is included.

#### Download the data

The estimates for non-overlapping 14-day periods (which underpin our modelled official estimates) are presented in Figure 2. These 14-day estimates are provided for context. The dataset that accompanies this bulletin includes the 14-day estimates and the unweighted sample counts. They show a similar trend to the modelled estimates in Figure 1: that the most recent estimate shows the number of infections has increased rapidly in recent weeks. The 14-day time periods presented in Figure 2 overlap with those presented in the data tables in our previous publication, so direct comparisons are not possible.

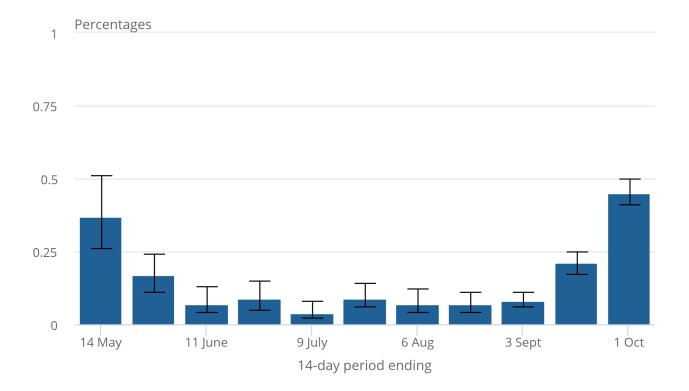
The percentage testing positive in the latest 14-day period (18 September to 1 October 2020) was 0.45% (95% confidence interval: 0.41% to 0.50%).

Figure 2: The weighted fortnightly estimate to 1 October (which underpins our modelled official estimates) shows the number of infections has increased rapidly in recent weeks

Estimated percentage of the population in England testing positive for the coronavirus (COVID-19) by non-overlapping 14-day periods between 1 May and 1 October 2020

## Figure 2: The weighted fortnightly estimate to 1 October (which underpins our modelled official estimates) shows the number of infections has increased rapidly in recent weeks

Estimated percentage of the population in England testing positive for the coronavirus (COVID-19) by non-overlapping 14-day periods between 1 May and 1 October 2020



Source: Office for National Statistics - Coronavirus (COVID-19) Infection Survey

#### Notes:

- 1. All results are provisional and subject to revision.
- 2. These statistics refer to infections reported in the community, by which we mean private households. These figures exclude infections reported in hospitals, care homes and/or other institutional settings.

Information about how the modelled and 14-day non-overlapping estimates are calculated can be found in our methods article.

We are continuously refining and looking to improve our modelling and presentations. We would welcome any feedback via email: <a href="mailto:infection.survey.analysis@ons.gov.uk">infection.survey.analysis@ons.gov.uk</a>.

For information about the potential impact of false-positive and false-negative test results, see our methods article. We estimate that when different plausible test sensitivity and specificity rates are taken into account, the number of people testing positive for COVID-19 would be fairly similar to the main estimate presented in this section.

#### More about coronavirus

- Find the latest on coronavirus (COVID-19) in the UK.
- All ONS analysis, summarised in our <u>coronavirus roundup</u>.
- View all coronavirus data.
- Find out how we are working safely in our studies and surveys.

#### Notes for: Number of people in England who had COVID-19

This is based on model estimates from the reference point of the most recent week (25 September to 1
October 2020), Monday 28 September 2020. More information on reference dates can be found in <u>Section</u>
12: Measuring the data.

## 3 . Regional analysis of the number of people in England who had COVID-19

In the data used to produce these estimates, the number of people sampled in each region who tested positive for the coronavirus (COVID-19) is low relative to England overall. This means there is a higher degree of uncertainty in the regional estimates for this period, as indicated by larger credible intervals.

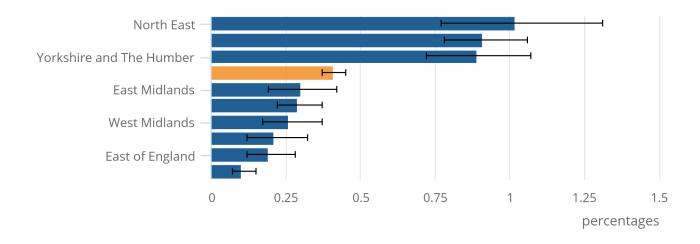
During the most recent week of the study (25 September to 1 October 2020), there is clear evidence of variation in COVID-19 infection rates across the regions of England, with highest rates seen in the North East, North West, and Yorkshire and The Humber. This is based on statistical modelling of nose and throat swab test results.

#### Figure 3: There is clear evidence of variation in the infection rates across regions

Estimated percentage of the population testing positive for the coronavirus (COVID-19) on nose and throat swabs across regions, England, 28 September 2020 (reference point of the most recent week from modelling)

## Figure 3: There is clear evidence of variation in the infection rates across regions

Estimated percentage of the population testing positive for the coronavirus (COVID-19) on nose and throat swabs across regions, England, 28 September 2020 (reference point of the most recent week from modelling)



Source: Office for National Statistics - Coronavirus (COVID-19) Infection Survey

#### Notes:

- 1. All results are provisional and subject to revision.
- 2. These statistics refer to infections reported in the community, by which we mean private households. These figures exclude infections reported in hospitals, care homes and/or other institutional settings.

Looking at trends over time, the highest rates are seen in the North East, North West, and Yorkshire and The Humber, which have all seen steep increases in recent weeks. Smaller increases can also be seen in all other regions except for the South East. The percentage of people testing positive by region was calculated using a similar modelling approach to the national daily estimates in <u>Section 2: Number of people in England who had COVID-19</u>.

The analysis is conducted over a six-week period, which means specific positive cases move into and then out of the sample. This causes variability between estimates over time, which is expected given the lower number of positive tests within each region, compared with England as a whole.

## Figure 4: The highest rates are seen in the North East, North West and Yorkshire and The Humber, which have all seen steep increases in recent weeks

Estimated percentage of the population testing positive for the coronavirus (COVID-19) on nose and throat swabs, daily, by region since 21 August 2020, England

#### Notes:

- 1. All results are provisional and subject to revision.
- 2. These statistics refer to infections reported in the community, by which we mean private households. These figures exclude infections reported in hospitals, care homes and/or other institutional settings.

#### Download the data

## 4 . Age analysis of the number of people in England who had COVID-19

In recent weeks, there has been clear evidence of an increase in the number of people testing positive for the coronavirus (COVID-19). We have recently updated our age categories to separate children and young people by school age:

- "age two years to school Year 6" includes those children in primary school and below
- "school Year 7 to school Year 11" includes those children in secondary school
- "school Year 12 to age 24 years" includes those young adults who may be in further or higher education

This means that 11- to 12-year-olds have been split between the youngest age categories depending on whether they are in school Year 6 or 7 (birthday before or after 1 September).

Similarly, 16- to 17-year-olds are split depending on whether they are in school Year 11 or 12 (birthday before or after 1 September).

The highest rates appear among older teenagers and young adults (school Year 12 to age 24), where rates have grown very rapidly in the most recent weeks. The second highest rates are seen in the secondary school age group (school Year 7 to school Year 11). Increases are also apparent across the other age groups, but to a much lesser extent. This is based on statistical modelling of nose and throat swab test results.

In the data used to produce these estimates, the number of people sampled in the different age groups who tested positive for COVID-19 is lower relative to England overall. This means there is a higher degree of uncertainty in estimates for individual age groups over this period, as indicated by larger credible intervals.

#### Figure 5: COVID-19 infection rates are highest among older teenagers and young adults

Estimated percentage of the population testing positive for the coronavirus (COVID-19) on nose and throat swabs, daily, by age group since 21 August 2020, England

#### Notes:

- 1. All results are provisional and subject to revision.
- 2. These statistics refer to infections reported in the community, by which we mean private households. These figures exclude infections reported in hospitals, care homes and/or other institutional settings.
- 3. The modelled estimates are presented at the reference value for a region which is the East Midlands. This does not affect the overall trend over time, but estimated probabilities for other regions would vary in level.

#### Download the data

## 5. Incidence rate in England

Based on statistical modelling, we estimate that during the most recent week of the study (25 September to 1 October 2020), there were 3.16 new coronavirus (COVID-19) infections per 10,000 people per day (95% credible interval: 2.53 to 4.19). This equates to 17,200 new infections per day (95% credible interval: 13,800 to 22,900).

There has been a marked increase in the incidence rate over the last six weeks. The credible intervals are larger in the most recent periods because there is a delay in getting the associated swab results. The model is not currently including people when their next swab result is not known, so the sample size for the most recent days is smaller, resulting in wider credible intervals.

The modelling used to calculate the incidence rate is a Bayesian model that is based on the same approach used for estimating the positivity rates in this bulletin. The model uses all swab test results to estimate the incidence rate of new infections for each different type of respondent (by age, sex and region) who tested negative when they first joined the study. It is made to be representative of the overall population using population data. More information on the <a href="mailto:methodology of this approach">methodology of this approach</a> is available.

We are continually refining the way we estimate incidence, and continue to present the absolute numbers for transparency in the <u>dataset that accompanies this bulletin</u>.

#### Figure 6: There has been a marked increase in the incidence rate over the last six weeks

Estimated numbers of new infections with the coronavirus (COVID-19), England, based on tests conducted since 8 June 2020, with modelled estimates from 21 August 2020

#### Notes:

- 1. All results are provisional and subject to revision.
- 2. Credible intervals are large at both ends of the plot because there is less information available. At the end, although we know that people have been visited, there is a short delay in getting the associated swab results. The model does not include people when their next swab result is not known, so the sample size for the most recent days is smaller, resulting in wider credible intervals. At the start, there were fewer people in the study.
- 3. This model does not control for household clustering, where multiple new cases derive from the same household.
- 4. Official reported estimates are plotted at a reference point believed to be most representative of the given week. Details of which day was used for each week can be found in the <u>dataset</u> that accompanies this bulletin.
- 5. Modelled estimates include additional swab test results not available when the official reported estimates were produced.
- 6. Initial unweighted estimates covering the full study period to date are not included in the official reported estimates chart.

#### Download the data

For context, we also present the incidence rate, the number of new infections, and the time at risk in non-overlapping 14-day periods, which are available in the <u>dataset that accompanies this bulletin</u>.

The incidence rates for households, which controls for any household clustering in new infections, follow a similar trend as for people. These are based on 14-day non-overlapping period estimates. The household incidence rates can be found in the dataset.

The incidence rate measures the occurrence of new cases of COVID-19, and the calculation of this is defined in <u>Section 11: Glossary</u>. The incidence rate is not the same as the reproduction rate (R), which is the average number of secondary infections produced by one infected person.

To calculate the estimated average number of people becoming newly infected per day, we multiply the daily incidence rate by the community population (see Coverage in <u>Section 12: Measuring the data</u>). We use the unrounded incidence rate to do this, so results will differ if calculated using the rounded estimates from the dataset.

#### Notes for: Incidence rate in England

1. This is based on model estimates from the reference point of the most recent week (25 September to 1 October 2020), Friday 25 September 2020. More information on reference dates can be found in Section 12: Measuring the data.

### 6. Number of people in Wales who had COVID-19

During the most recent week of the study<sup>1</sup>, we estimate that 6,100 people in Wales had the coronavirus (COVID-19) (95% credible interval: 1,900 to 14,600). This equates to 0.20% (95% credible interval: 0.06% to 0.48%) of the population in Wales or around 1 in 500 people (95% credible interval: 1 in 1,600 to 1 in 200). Our modelling suggests that the number of COVID-19 cases in Wales has increased over the past six weeks. There is some evidence that positivity rates may now have levelled off, although uncertainty is high. This is based on exploratory modelling of throat and nose swab results.

Because of the relatively small number of tests and a low number of positives in our sample, credible intervals are wide and therefore results should be interpreted with caution.

In Wales, the modelled estimates for the latest six-week period are based on 10,566 swab tests collected over this period. During these weeks, there were a total of 16 positive swabs taken from 13 people from 11 households.

## Figure 7: There is some evidence that positivity rates may now have levelled off, although uncertainty is high

Estimated percentage of the population in Wales testing positive for the coronavirus (COVID-19) on nose and throat swabs since 21 August 2020

#### Notes:

- 1. These results are provisional and subject to revision.
- 2. All estimates are subject to uncertainty, given that a sample is only part of the wider population. The model used to provide these estimates is a Bayesian model: these provide 95% credible intervals. A credible interval gives an indication of the uncertainty of an estimate from data analysis. 95% credible intervals are calculated so that there is a 95% probability of the true value lying in the interval.
- 3. Official reported estimates are plotted at a reference point believed to be most representative of the given week. Details of which day was used for each week can be found in the <u>dataset</u> that accompanies this bulletin.
- 4. Modelled estimates include all swab results that are available at the time the official estimates are produced. Additional swab tests that become available after this are included in subsequent models, meaning that modelled estimates can change slightly as additional data are included.

#### Download the data

The estimates for non-overlapping 14-day periods (which underpin our modelled official estimates) are presented in Figure 8. These 14-day estimates are provided for context. The <u>dataset</u> that accompanies this bulletin includes the 14-day estimates and the unweighted sample counts.

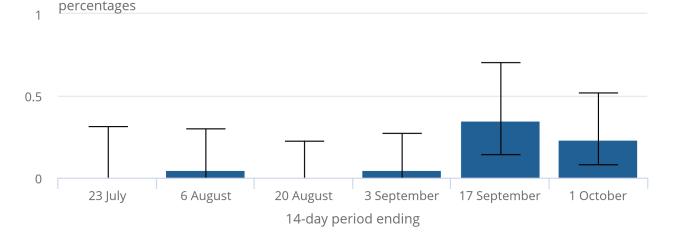
The percentage testing positive in Wales in the latest 14-day period (18 September to 1 October 2020) was 0.23% (95% confidence interval: 0.08% to 0.52%).

Figure 8: The weighted fortnightly estimate to 1 October (which underpins our modelled official estimates) suggests that positivity rates may now have levelled off, although uncertainty is high

Estimated percentage of the population in Wales testing positive for the coronavirus (COVID-19) by non-overlapping 14-day periods between 10 July and 1 October 2020

Figure 8: The weighted fortnightly estimate to 1 October (which underpins our modelled official estimates) suggests that positivity rates may now have levelled off, although uncertainty is high

Estimated percentage of the population in Wales testing positive for the coronavirus (COVID-19) by non-overlapping 14-day periods between 10 July and 1 October 2020



Source: Office for National Statistics - Coronavirus (COVID-19) Infection Survey

#### Notes:

- 1. All results are provisional and subject to revision.
- 2. These statistics refer to infections reported in the community, by which we mean private households. These figures exclude infections reported in hospitals, care homes and/or other institutional settings.

The Welsh Government also publishes results from this survey that describe COVID-19 infections in Wales in English and in Welsh.

#### Notes for: Number of people in Wales who had COVID-19

1. This is based on model estimates from the reference point of the most recent week (25 September to 1 October 2020), Monday 28 September 2020. More information on reference dates can be found in <a href="Section12">Section 12</a>: Measuring the data.

## 7. Number of people in Northern Ireland who had COVID-19

During the most recent two weeks of the study (18 September to 1 October 2020), we estimate that 0.22% of people in Northern Ireland had the coronavirus (COVID-19) (95% confidence interval: 0.05% to 0.60%). This equates to 1 in 500 people (95% confidence interval: 1 in 1,900 to 1 in 200).

Estimates of the total national proportion of the population testing positive for COVID-19 are weighted to be representative of the population of Northern Ireland that live in private-residential households in terms of age (grouped), sex, region, and household size. It is too early to comment on any trend on the proportion of the population testing positive for COVID-19 in Northern Ireland. Because of a relatively small number of tests and positive swab results within our sample, confidence intervals are wide and therefore results should be interpreted with caution.

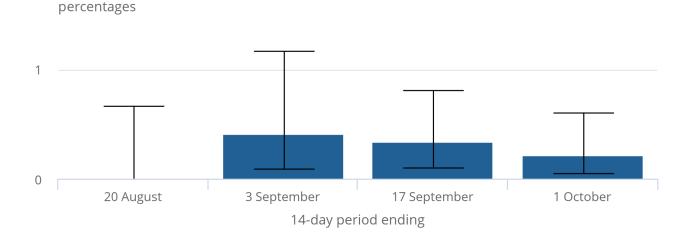
In Northern Ireland, the weighted estimates for the latest two-week period are based on swab test results from 2,199 participants collected over this period. During these weeks, there were four people from four households who tested positive.

## Figure 9: The weighted fortnightly estimate to 1 October of the percentage of people testing positive in Northern Ireland

Estimated percentage of the population in Northern Ireland testing positive for the coronavirus (COVID-19) by non-overlapping 14-day periods between 31 July and 1 October 2020

## Figure 9: The weighted fortnightly estimate to 1 October of the percentage of people testing positive in Northern Ireland

Estimated percentage of the population in Northern Ireland testing positive for the coronavirus (COVID-19) by non-overlapping 14-day periods between 31 July and 1 October 2020



Source: Office for National Statistics – Coronavirus (COVID-19) Infection Survey

#### Notes:

- 1. All results are provisional and subject to revision.
- 2. These statistics refer to infections reported in the community, by which we mean private households. These figures exclude infections reported in hospitals, care homes and/or other institutional settings.

### 8. Test sensitivity and specificity

The estimates provided in <u>Section 2: Number of people in England who had COVID-19</u> are for the percentage of the private-residential population testing positive for the coronavirus (COVID-19), otherwise known as the positivity rate. We do not report the prevalence rate. To calculate the prevalence rate, we would need an accurate understanding of the swab test's sensitivity (true-positive rate) and specificity (true-negative rate).

While we do not know the true sensitivity and specificity of the test, as COVID-19 is a new virus, our data and related studies provide an indication of what these are likely to be. To understand the potential impact of false-positives and false-negatives, we have estimated what the prevalence would be in two scenarios using different test sensitivity and the same specificity rates. The results of these scenarios show that when these estimated sensitivity and specificity rates are taken into account, the prevalence rate would be fairly similar to the main estimate presented in <u>Section 2: Number of people in England who had COVID-19</u>.

For this reason, we do not produce prevalence estimates for every analysis, but we will continue to monitor the impacts of sensitivity and specificity in future.

You can find more information on sensitivity and specificity in a <u>paper written by the Office for National Statistics'</u> (ONS') academic partners and in our <u>methods article</u>.

## 9. COVID-19 Infection Survey data

#### Coronavirus (COVID-19) Infection Survey

Dataset | Released 9 October 2020

Findings from the pilot phase of the Coronavirus (COVID-19) Infection Survey, England, Wales and Northern Ireland.

#### 10. Collaboration

The Coronavirus (COVID-19) Infection Survey analysis was produced by the Office for National Statistics (ONS) in collaboration with our research partners at the University of Oxford, the University of Manchester, Public Health England (PHE) and Wellcome Trust. Of particular note are:

- Sarah Walker University of Oxford, Nuffield Department for Medicine: Professor of Medical Statistics and Epidemiology and Study Chief Investigator
- Koen Pouwels University of Oxford, Health Economics Research Centre, Nuffield Department of Population Health: Senior Researcher in Biostatistics and Health Economics
- Thomas House University of Manchester, Department of Mathematics: Reader in mathematical statistics

## 11. Glossary

#### Community

In this bulletin, we refer to the number of coronavirus (COVID-19) infections within the community. Community in this instance refers to private households, and it excludes those in hospitals, care homes or other institutional settings.

#### **Confidence interval**

A confidence interval gives an indication of the degree of uncertainty of an estimate, showing the precision of a sample estimate. The 95% confidence intervals are calculated so that if we repeated the study many times, 95% of the time the true unknown value would lie between the lower and upper confidence limits. A wider interval indicates more uncertainty in the estimate. For more information, see our <a href="mailto:methodology page on statistical uncertainty">methodology page on statistical uncertainty</a>.

#### Credible interval

A credible interval gives an indication of the uncertainty of an estimate from data analysis. 95% credible intervals are calculated so that there is a 95% probability of the true value lying in the interval.

#### False-positives and false-negatives

A false-positive result occurs when the tests suggest a person has COVID-19 when in fact they do not. By contrast, a false-negative result occurs when the tests suggest a person does not have COVID-19 when in fact they do. For more information on false-positives and false-negatives, see our <u>methods article</u>.

#### Incidence rate

The incidence rate is an estimate of how often new cases of COVID-19 occur over a given period of time. In our study, it is calculated by dividing the number of times a person has a positive test for the first time in the study, having first tested negative, by the total time everyone is in the study. We include the time people are in the study between successive negative tests for those who never have a positive test and the time up to halfway (or maximum of seven days, whichever is later) between their last negative and first positive test for those that have a positive test. This reflects the fact that we do not actually know when a person first becomes positive, only when we tested them. People who are positive when they join the study are not included in this calculation.

## 12. Measuring the data

Data presented in this bulletin come from the Coronavirus (COVID-19) Infection Survey, which looks to identify the percentage of the population testing positive for COVID-19 and whether they have symptoms or not. The survey helps track the current extent of infection and transmission of COVID-19 among the population as a whole.

This section of the bulletin provides a short summary of the study data and data collection methods. Our <u>methodology article</u> provides further information around the survey design, how we process data and how data are analysed. The <u>study protocol</u> specifies the research for the study.

#### Reference dates

We aim to provide the estimates of positivity rate and incidence that are most timely and most representative of each week. We decide the most recent week we can report on based on the availability of test results for visits that have already happened, accounting for the fact that swabs have to be couriered to the labs, tested and results returned. On most occasions the reference data align perfectly but sometimes this is not feasible. This week, the reference week falls between 25 September and 1 October 2020.

Within the most recent week, we provide an official estimate for positivity rate and incidence based on a reference point from the modelled trends. For positivity rates, we can include all swab test results, even from the most recent visits. Therefore, although we are still expecting further swab test results from the labs, there is sufficient data for the official estimate for positivity to be based on a reference point after the start of the reference week. To improve stability in our modelling while maintaining relative timeliness of our estimates we are reporting our official estimates based on the midpoint of the reference week. This week, the reference day for positivity rates is Monday 28 September 2020.

The calculation of incidence uses time between two tests; so, for example, a participant who was last seen two weeks ago and is not due their next visit for another two weeks only contributes to the model up to two weeks ago. Our official estimates of incidence are therefore based on the first day of the reference week. This week, the reference day for incidence is Friday 25 September 2020. The model includes all information up to 1 October (the end of the reference week), and people who tested negative on a test between 1 and 4 October are included as negative up to 1 October.

#### Response rates

At the start of the pilot study we invited 20,276 households in England to take part, of which 10,326 have enrolled as of 1 October. In responding households, there are 22,309 eligible people. The likelihood of enrolment decreases over time and response rate information for those initially asked to take part at the start of the survey can be considered as relatively final.

We expanded our sampling at the end of May and again at the end of July. The number of households invited to participate in the survey in this expansion in England, as of 1 October, was 994,591, of which 138,272 have enrolled. In responding households, there are 304,998 eligible people. We are significantly expanding the infection survey to 400,000 people in England, making it the UK's largest study tracking COVID-19 in the general population. Response rates cannot be regarded as final response rates to the survey since those who are invited are not given a time limit in which to respond.

The number of households invited to participate in the survey in Wales, as of 1 October, was 8,231, of which 2,664 have enrolled. In responding households, there are 5,546 eligible people.

The number of households invited to participate in the survey in Northern Ireland, as of 1 October, was 4,770, of which 1,835 have enrolled. In responding households, there are 3,913 eligible people.

Response rates for England are found in Table 4 of the <u>dataset</u> that accompanies this bulletin. Initial response rates for Wales are in Table 6 and initial response rates for Northern Ireland are in Table 8. We provide response rates separately for the different sampling phases of the study.

#### Coverage

Survey fieldwork for the pilot study began in England on 26 April 2020. Survey fieldwork in Wales began on 29 June, and since 7 August we have reported headline figures for Wales. Survey fieldwork began in Northern Ireland on the 26 July and since 25 September we have reported headline figures for Northern Ireland. The survey has also begun in Scotland, and we will publish estimates for Scotland when we have a sufficiently large sample size.

Only private residential households, otherwise known as the target population in this bulletin, are included in the sample. People in hospitals, care homes and other institutional settings are not included.

The overall target population for England used in this study is 54,628,600. The overall target population for Wales used in the study is 3,059,461. The overall target population for Northern Ireland used in the study is 1,826,527.

#### Analysing the data

All estimates presented in this bulletin are provisional results. As swabs are not necessarily analysed in date order by the laboratory, we have not yet received test results for all swabs taken on the dates included in this analysis. Estimates may therefore be revised as more test results are included.

This is a pilot study where the analysis is developed at pace, and these quality enhancements may lead to minor changes in estimates, for example, the positive test counts across the study period.

We are giving increasing prominence to the weighted estimates to ensure we are giving appropriate visibility to all available indicators.

#### Other studies

This study is one of a number of studies that look to provide information around the coronavirus pandemic within the UK.

#### Department of Health and Social Care (DHSC) data, UK

Public Health England (PHE) present data on the <u>total number of laboratory-confirmed cases in England</u>, which capture the cumulative number of people in England who have tested positive for COVID-19. Equivalent data for <u>Wales, Scotland</u> and <u>Northern Ireland</u> are also available. These statistics present all known cases of COVID-19, both current and historical. The large sample size means it is possible to <u>present known cases at local authority</u> level.

Each nation of the UK has a <u>testing and tracing</u> system. These ensure that anyone who develops symptoms of COVID-19 can quickly be tested to find out if they have the virus. Some nations also include targeted asymptomatic testing of NHS and social care staff and care home residents. Additionally, it helps trace close recent contacts of anyone who tests positive for COVID-19 and, if necessary, notify them that they must self-isolate. We have recently published an <u>article</u> that compares the methods used in the Coronavirus (COVID-19) Infection Survey and NHS Test and Trace in England.

In comparison with PHE data and NHS Test and Trace data, the statistics presented in this bulletin take a representative sample of the community population (those in private residential households), including people who are not otherwise prioritised for testing. This means that we can estimate the number of people in the community population with COVID-19 who do not report any evidence of symptoms.

### COVID Symptom Study (ZOE app and King's College London), UK

The COVID Symptom Study app allows users to log their health each day, including whether or not they have symptoms of COVID-19. The study aims to predict which combination of symptoms indicate that someone is likely to test positive for COVID-19. The app was developed by the health science company ZOE with data analysis conducted by King's College London. Anyone over the age of 18 years can download the app and take part in the study. Respondents can report symptoms of children.

The study estimates the total number of people with symptomatic COVID-19 and the daily number of new cases of COVID-19 based on app data and swab tests taken in conjunction with the Department of Health and Social Care (DHSC). The study investigates the "predictive power of symptoms", and so the data do not capture people who are infected with COVID-19 but who do not display symptoms.

Unlike the data presented in this bulletin, the COVID Symptom Study is not a representative sample of the population. It is reliant on app users and so captures only some cases in hospitals, care homes and other communities where few people use the app. To account for this, the model adjusts for age and deprivation when producing UK estimates. The larger sample size allows for <u>detailed geographic breakdown</u>.

## Real-time Assessment of Community Transmission-1 and -2 (REACT-1 and -2), England

Like our study, the Real-time Assessment of Community Transmission-1 (REACT-1) survey involves taking swab samples to test for COVID-19 antigens to estimate the prevalence and transmission of the virus that causes COVID-19 in the community. The study currently involves around 120,000 participants aged five years and above, selected from a random cross-section sample of the general public from GP registration data, which allows for more detailed geographic breakdowns of infection rates than are currently possible within our study. Trends in infection by characteristics, such as age, sex, ethnicity, symptoms and key worker status, are also possible through the study. The REACT-2 study uses a finger prick test to generate data for antibody analysis.

One of the main differences from our COVID-19 Infection Survey is that the REACT surveys do not require follow-up visits, as the study is interested primarily in prevalence at a given time point. Consequently, the incidence rate cannot be calculated from the REACT studies. It is also important to note that blood samples in the REACT-2 study are self-administered, rather than taken by a trained nurse, phlebotomist or healthcare assistant.

#### Other antibody estimates

PHE also publish an estimate of the <u>prevalence of antibodies in the blood</u> in England using blood samples from healthy adult blood donors. PHE provide estimates by region and currently do not scale up to England. Estimates in this bulletin and those published by PHE are based on different tests; PHE estimates are based on testing using the Euroimmun assay method, while blood samples in our survey are tested for antibodies by research staff at the University of Oxford using a novel ELISA. For more information about the antibody test used in this bulletin, see the <u>COVID-19 Infection Survey protocol</u>.

In addition, the REACT study, led by Imperial College London, uses antibody finger-prick tests to track past infections and monitor the progress of the pandemic, and the <u>estimates have been published</u>. Estimates in this bulletin and the REACT study use different tests and different methods, for example, the REACT estimates are based on self-administered and self-read finger prick tests, whereas tests in this survey are carried out by a trained nurse, phlebotomist or healthcare assistant.

#### **Next steps**

This edition of the bulletin presents headline analysis of the overall number of people infected with COVID-19, the regional positivity rate, positivity rate by age and the incidence rate. We provide headline figures once a week, to give regular, concise and high-quality information on COVID-19 within the community.

Our recent release, <u>Coronavirus (COVID-19) Infection Survey: characteristics of people testing positive for COVID-19 in England, September 2020</u>, offers more detailed analysis, including further exploration of the characteristics and behaviours of those with COVID-19, such as deprivation quintile, ethnicity, socially distanced direct contact, travel behaviour, working location and occupation.

We are significantly expanding the infection survey to 400,000 people in England, making it the UK's largest study tracking COVID-19 in the general population. We have begun this expansion by increasing the sample size in local authorities of interest in the North West, Yorkshire and The Humber, and London. For more information, please see the Office for National Statistics (ONS) expansion press notice, released on 18 August 2020.

### 13 . Strengths and limitations

These statistics have been produced quickly in response to developing world events. The Office for Statistics Regulation, on behalf of the UK Statistics Authority, has <u>reviewed them</u> against several important aspects of the <u>Code of Practice for Statistics</u> and regards them as consistent with the Code's pillars of <u>trustworthiness</u>, <u>quality</u> and <u>value</u>.

The estimates presented in this bulletin contain <u>uncertainty</u>. There are many sources of uncertainty, including uncertainty in the test, in the estimates and in the quality of data collected in the questionnaire. Information on the main sources of uncertainty are presented in <u>our methodology article</u>.

#### 14. Related links

## Coronavirus (COVID-19) Infection Survey: characteristics of people testing positive for COVID-19 in England, September 2020

Article | Updated monthly

Analysis on the latest data about the characteristics of those who test positive for COVID-19 in England, from the COVID-19 Infection Survey.

#### Coronavirus (COVID-19) latest data and analysis

Web page | Updated as and when data become available

Latest data and analysis on the coronavirus pandemic in the UK and its effect on the economy and society.

#### Coronavirus (COVID-19) roundup

Web page | Updated as and when data become available

Catch up on the latest data and analysis related to the coronavirus pandemic and its impact on our economy and society.

#### Deaths registered weekly in England and Wales, provisional

Bulletin | Updated weekly

Provisional counts of the number of deaths registered in England and Wales, including deaths involving COVID-19, by age, sex and region, in the latest weeks for which data are available.

## Comparing methods used in the Coronavirus (COVID-19) Infection Survey and NHS Test and Trace, England: October 2020

Article | Released 6 October 2020

The methods used in the Coronavirus (COVID-19) Infection Survey and NHS Test and Trace in England and why the data cannot be directly compared.

## New survey results provide first snapshot of the current number of COVID-19 infections in England Blog | Released 14 May 2020

A large study jointly led by the Office for National Statistics (ONS), in partnership with the Universities of Oxford and Manchester, Public Health England (PHE), and Wellcome Trust, is tracking infections within a representative sample of people of all ages across England. This blog explains what these mean, why they are important and how to compare this survey with other COVID-19 estimates.

#### **COVID-19 Infection Survey**

Article | Updated 14 May 2020

Whether you have been invited to take part, or are just curious, find out more about our COVID-19 Infection Survey and what is involved.